

**Annex-I**  
**(Refers to Paragraph 6)**  
**Sampling design for all schemes**

All districts in each State may be divided into the following four strata:-

- Stratum-I**            **Capital District**  
**Stratum-II**           **Districts having hostels for SC boys & girls**
- Stratum-III**        **Districts having any of the following**  
                          **(a) Hostels for ST boys & girls**  
                          **(b) Education complex for ST girls**  
                          **(c) Ashram schools for STs**
- Stratum-IV**        **Remaining districts of the State**

The districts in Stratum-II to IV may be selected with unequal probability {PPSWR (Probability Proportional to Size With Replacement)}, considering total population of SCs/STs as per 2001 census, as the size measure.

**1<sup>st</sup> Stage: Selection of District:**

- Stratum I**  
                  Capital District<sup>1</sup>, compulsory selection (selected with probability 1).
- Stratum II**  
                  25% Districts (minimum 2, subject to availability of districts in that State) may be selected by PPSWR (size as total SC population as per 2001 census).
- Stratum III**  
                  25% Districts (minimum 2, subject to availability of districts in that State) may be selected by PPSWR (size as total ST population as per 2001 census).

Note:- If there is no ST population in a State 50% of the Districts (minimum 4, subject to availability of district in that State) from Stratum-II may be selected. Similarly, if there is no SC population in a State 50% of the Districts (minimum 4, subject to availability of district in that State) from Stratum-III may be selected.

- Stratum IV**  
                  20% of Districts (minimum two, subject to availability of district in that State) may be selected by PPSWR (size as total SCs and STs population as per 2001 census).

**2<sup>nd</sup> Stage:- Selection of Universities, Colleges, Schools, hostels and NGOs**

- Stratum I (for capital districts)**
  - (a) Select two universities with SRSWOR (Simple Random Sampling without Replacement)
  - (b) Two colleges for girls only and four from other colleges are to be selected with SRSWOR

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<sup>1</sup> For Delhi, South Delhi District and for Gujrat, Ahmedabad District may be selected as Capital Districts. For Punjab and Haryana one additional district may be selected in stratum-IV in-lieu of Capital District.

- (c) Two schools each from Primary level schools, Middle level schools and High schools (including Ashram Schools) are to be selected with SRSWOR. (Out of the six, three schools may be selected in urban areas and three in rural areas)
- (d) Two Senior Secondary Schools are to be selected with SRSWOR (one from rural areas and one from urban areas)
- (e) One hostel each for SC boys and girls and one hostel each for ST boys and girls are to be selected with SRSWOR
- (f) Two NGOs involved in educational development for SC and ST subject to availability are to be selected with SRSWOR

*(If there are no rural/urban areas in a district, then all the schools can be selected from urban/rural areas as the case may be)*

□ **Stratum II to IV (for other Districts)**

- (a) Select one university with SRSWOR.
- (b) Two colleges for girl only and four from other colleges are to be selected with SRSWOR.
- (c) Two schools each from Primary level schools, Middle level schools and High schools (including Ashram Schools) are to be selected with SRSWOR. (Out of the six, three schools may be selected in urban areas and three in rural areas).
- (d) Two Senior Secondary Schools are to be selected with SRSWOR(one from rural areas and one from urban areas).
- (e) One hostel each for SC boys and girls and one hostel each for ST boys and girls are to be selected with SRSWOR.
- (f) Two NGOs involved in educational development for SC and ST subject to availability are to be selected with SRSWOR.

*(If there are no rural/urban areas in a district, then all the schools can be selected from urban/rural areas as the case may be)*

**Sampling frames required at various stages:-****1<sup>st</sup> Stage:-**

- (i) Prepare a grand list of all the districts in the State in the following format:-

Sl. No.	Name of the district	Population as per 2001 census		No. of hostels for SC boys and girls	No. of hostels for ST boys and girls	No. of education complex for ST girls	No. of Ashram school
		SCs	STs				

- (ii) Prepare a sub list of districts (excluding capital district) from the grand list of districts with hostel for SC boys and girls
- (iii) Prepare a sub list of districts (excluding capital district) from the grand list of districts with any of (i) hostel for ST boys and girls (ii) educational complex for ST girls (iii) Ashram schools for STs.
- (iv) Prepare a sub list of districts with the rest districts

**2<sup>nd</sup> Stage:-****(for selected districts only)**

- (i) List of Universities  
This is required to select two universities for capital district and one university for other districts with SRSWOR.
- (ii) List of girls' colleges and list of other colleges  
This is required to select two girls' colleges and four other colleges with SRSWOR.
- (iii) List of primary level schools, middle level schools and high schools (including Ashram Schools).  
This is required to select two primary level schools, two middle level schools and two high schools (including Ashram schools) with SRSWOR.
- (iv) List of Senior. Secondary schools  
This is required to select two Senior. Secondary schools with SRSWOR.
- (v) List of hostels for SC boys and girls and ST boys and girls  
This is required to select one hostel each for SC boys and girls and one hostel each for ST boys and girls with SRSWOR.
- (vi) List of NGOs involved in educational development of SC and ST  
This is required to select two NGOs with SRSWOR.

**Annex-II**  
**(Refers to Paragraph 6)**  
**Details of districts selected**

Sl. no.	Name of the State	Capital district	Other selected districts
1.	Andhra Pradesh	Hyderabad	Visakhapatnam, West Godavari, Kurnool, Mahboobnagar and Warangal
2.	Arunachal Pradesh	Papum Pare	Lohit, Tirap, East Siang and West Kameng
3.	Assam	Kamrup	Cachar, Kokrajhar, Golaghat, Karbi Anglong, Nagoan, Morigaon, Lakhimpur and Darrang
4.	Bihar	Patna	Bhagalpur, Darbhanga, Gaya, Jamui, Nawada, Muzaffarpur, Purnia, Sasaram and Siwan
5.	Chhattisgarh	Raipur	Bilaspur, Surguja, Bastar and Raigarh
6.	Goa	*	North Goa and South Goa
7.	Gujarat	*	Ahmedabad, Junagadh, Panchmahals, Rajkot, Sabarkantha, Surat, Vadodara and Valsad
8.	Haryana	*	Ambala, Kurukshetra, Rohtak, Hissar and Sirsa
9.	Himachal Pradesh	Shimla	Bilaspur, Chamba, Hamirpur, Kangra, Lahaul, Spiti and Solan
10.	J&K	Srinagar	Jammu
11.	Jharkhand	Ranchi	Deoghar, Dhanbad, Dumka, Hazaribagh, Jamtara, Sahebganj and West Singhbhum
12.	Karnataka	Bangalore urban	Gulbarga, Koppal, Udipi, Chickmagalur, Hassan and Kolar
13.	Kerala	Thiruvananthapuram	Ernakulam, Thrissur, Palakkad and Malappuram
14.	Madhya Pradesh	Bhopal	Shajapur, Ujjain, Betul, Chhindwara, Dhar, Jhabua, Seoni, Damoh, Dewas, Mandsaur, Satna and Bhind
15.	Maharashtra	Mumbai city, Mumbai suburban	Nasik, Nanded, Nandurbar, Amravati, Pune, Gadchiroli, Gondia, Sangli
16.	Manipur	Imphal East, Imphal West	Senapati, Ukhrul, Thoubal and Bishnupur
17.	Maghalya	East Khasi Hills	West Khasi Hills, West Garo Hills and East Garo Hills
18.	Mizoram	Aizawl	Lungleih and Kolasib
19.	Nagaland		No sample selection carried out
20.	Orissa	Khurda	Balasore, Baragarh, Bolangir, Dhenkanal, Ganjam, Koraput, Mayurbhanj and Sundargarh
21.	Punjab	*	Amritsar, Hoshiarpur, Ludhiana, Musatkar, NawanShahr, Patiala and Sangrur
22.	Rajasthan	Jaipur	Banswara, Bundi, Dausa, Karauli, Nagaur, Pali, Sawai Madhopur and Sikar
23.	Sikkim	East District	North district, South district
24.	Tamil Nadu	Chennai	Erode, Kancheepuram, Karur, Nagapattinam, Namakkal, Thoothukudi and Tiruchirappalli
25.	Tripura	Tripura West	Tripura South and Dhalai
26.	Uttar Pradesh	Lucknow	Allahabad, Azamgarh, Badaun, Etah, Faizabad, Fatehpur, Ferozabad, Gonda, Jhansi, Kanpur Nagar, Kushinagar, Meerut, Muzaffarnagar, Pilibhit, Raebareli, Bahraich and Lakhimpur Kheri
27.	Uttaranchal	Dehradun	Haridwar, Pauri Garhwal, Uddham Singh Nagar, Bageshwar, Rudraprayag
28.	West Bengal	Kolkata	Bankura, Birbhum, Burdwan, Cooch Behar, Jalpaiguri and Paschim Midnapore
29.	A&N Islands	Andaman district	Nicobar district

Sl. no.	Name of the State	Capital district	Other selected districts
30	Chandigarh	Chandigarh	Chandigarh
31	D&N Haveli		No sample selection carried out
32	Daman & Diu	Daman & Diu	
33	Delhi		South district, East district, North district, North West district and South West district
34	Lakshadweep		Kavaratti
35	Pondicherry	Pondicherry	Karaikal

\* In Goa, Gujarat, Punjab and Haryana other districts have been selected in lieu of capital district.

**Annex-III**  
**(Reference Paragraph 8.1.1)**

**Funding pattern of centrally sponsored and central sector schemes**

Sl. no.	Name of the Scheme	Funding pattern
1.	Pre Matric Scholarship for the children of those engaged in unclean occupation	Central assistance is provided to the State Governments on a 50:50 basis and 100 <i>per cent</i> to UT Administrations, over and above their respective committed liabilities to be met by them from their own State/UT budget. The committed liability is the actual expenditure incurred by the State/UT during the terminal year of the previous Five Year Plan.
2.	Post Matric Scholarship for SCs/STs (inclusive of Book Bank Scheme)	100 <i>per cent</i> central assistance is provided to States/UTs over and above their committed liability. The committed liability during each Five Year Plan period is the actual expenditure incurred by the State/UT under the scheme during the terminal year of the previous Five Year Plan. With effect from the 9 <sup>th</sup> Five Year Plan, the committed liability criterion was dispensed with for the North-Eastern States.
3.	Scheme for the establishment of Ashram Schools in tribal sub-plan areas	Central assistance is provided on cost sharing basis between the Union and the States in the ratio of 50:50. In the case of UTs, 100 <i>per cent</i> assistance is provided.
4.	Upgradation of merit of SC/ST students	100 <i>per cent</i> central assistance to the States/UTs.
5.	Coaching and Allied Scheme for weaker sections including SC/ST OBCs and Minorities	Central assistance to NGOs, universities and institutions running coaching programs is borne on 90:10 basis, with the Centre bearing 90 percent of the expenditure and the concerned State/UT bearing the remaining 10 <i>per cent</i> . Institutions run by the State Governments are paid central assistance on 50:50 basis while 100 <i>per cent</i> assistance is provided to the UTs. Under the ST component, funding is on contractual basis according to the course. Universities and private institutions are funded 100 <i>per cent</i> on contractual basis while State owned Pre-Examination Training Centres (PETCs) are funded to the extent of 50 <i>per cent</i> of the contractual amount.
6.	Hostels for SC/ST Boys and Girls	Central assistance to the State Governments on 50:50 basis, 100 <i>per cent</i> to UTs and 90 <i>per cent</i> to the central universities for construction of hostel buildings. Other universities can also receive central assistance upto 45 <i>per cent</i> of the estimated expenditure with the remaining 45 <i>per cent</i> and 10 percent being provided by the State governments and the universities respectively. However, in case the concerned State government is not able to contribute its expected share of 45 <i>per cent</i> , the university may bear the share of the State also thereby raising its net contribution to 55 <i>per cent</i> . NGOs are also eligible to receive assistance on sharing basis of 45:45:10 (Union Government:State:NGO) only for expansion of existing hostels under the scheme.
7.	Grant-in-aid to Voluntary Organisations working for SCs/STs	Government provides funds to the extent of 90 <i>per cent</i> of the estimated expenditure and the voluntary organisation is expected to meet the remaining 10 percent
8.	Education Complex in Low-Literacy Pockets for development of women	Government provides funds to the extent of 90 <i>per cent</i> of the estimated expenditure and the NGO is expected to meet the remaining 10 percent

**Annex-IV**  
**(Refers to Paragraph 8.1.2.2.)**  
**Non implementation of the Book Bank scheme**

Sl. no.	Name of the State	Remarks
1.	<b>Chhattisgarh</b>	No funds were provided by the State Government during 2003-06 for ST students and 2001-06 for SC students.
2.	<b>Delhi</b>	No expenditure had been incurred for last three years i.e. 2003-04 to 2005-06 towards book banks.
3.	<b>Daman &amp; Diu</b>	Lack of initiative on the part of the department to identify the students and proposal for requisite funds resulted in the beneficiaries being deprived of the advantage of the scheme.
4.	<b>Maharashtra</b>	Book Bank scheme for ST students was not implemented in the state during 2001-06, depriving 4723 ST students of the benefit.
5.	<b>Orissa</b>	Book Bank scheme for SC students was not implemented in the state during 2001-06 and no financial assistance was received from GOI. During 2001-06, out of Rs. 26.64 lakh (GOI share Rs. 10.64 lakh and state share Rs. 16.00 lakh), Rs. 21 lakh was spent as of March 2006. 349 sets of books were purchased for STs.
6.	<b>Pondicherry</b>	The funds received for implementing the Book Bank scheme during 1999-2000 were utilised during 2000-02. The department failed to seek funds for this component during 2002-06 due to non-receipt of proposals from colleges/students.
7.	<b>Tamil Nadu</b>	Based on the proposal of the State Government for implementation of the scheme for SC students at a cost of Rs. 123.72 lakh during 2001-02, the Government of India released (March 2003) its share of Rs. 61.86 lakh. The scheme was not implemented during 2003-04 on the grounds that funds were received late and were released under a different Head of Account. The required amendment to the head of account was belatedly issued (January 2005) and the funds were finally utilised only during 2005-06. Due to non-receipt of UCs for the grants released in March 2003, the Government of India did not release its share for the years 2004-06 resulting in non-implementation of the scheme. Further, the scheme for ST students was also implemented only for one year (2002-03) during 2001-06.
8.	<b>Uttaranchal</b>	Budget was not allotted for the book bank scheme for the ST students in all the 13 districts of the state during 2001-05. Thus, ST students were not covered under the scheme.

## Annex- V

(Refers to Paragraph 8.1.2.3)

## Short availment of awards under the scheme of Upgradation of Merit of SC/ST

(Awards in number)

Sl. no.	State/UT	Total awards available 2001-06	Awards availed during 2001-06	Award short/excess utilised	Upgradation of Merit of SC			Upgradation of Merit of ST		
					Total awards available 2001-06	Award availed during 2001-06	Award short/excess utilised	Total awards available 2001-06	Award availed during 2001-06	Award short/excess utilised
1.	Andhra Pradesh	1480	1352	128	420	84	336			
2.	Arunachal Pradesh	-	-	-	100	45	55			
3.	Assam	460	92	368	300	119	181			
4.	Bihar	1460	73	1387	-	-	-			
5.	Chhattisgarh	560	375	185	700	420	280			
6.	Goa	100	10	90	-	-	-			
7.	Gujarat	700	105	595	460	57	403			
8.	Haryana	320	366	(-)46	-	-	-			
9.	Himachal Pradesh	-	-	-	20	1	19			
10.	J&K	-	-	-	80	14	66			
11.	Karnataka	940	376	564	-	-	-			
12.	Kerala	200	100	100	40	28	12			
13.	Orissa	1220	1575	(-)355	680	476	204			
14.	Madhya Pradesh	1960	3074	(-)1114	860	688	172			
15.	Rajasthan	1340	286	1054	560	198	362			
16.	Sikkim	100	65	35	80	49	31			
17.	Tripura	100	88	12	80	80	Nil			
18.	Uttar Pradesh	3100	1554	1546	-	-	-			
19.	West Bengal	1840	616	1224	360	174	186			



## Annex VI

(Refers to Paragraph 8.1.2.6)

## Unspent balances lying with the States/UTs/institutions/field offices

Sl. no.	State	Scheme	Amount (Rs.in Lakh)	Remarks
1.	<b>Andhra Pradesh</b>	Pre MS	229.69	Of Rs. 2.62 crore released by GOI during 2005-06, Rs. 2.11 crore was kept in A.P. Social Welfare Fund. Reasons for retention of the amount have not been intimated. Further Rs. 19.10 lakh was lying unutilised in 5 test checked districts.
		Post MS (SC)	0.64	In one district, scholarship amounting to Rs. 0.64 lakh was lying with the college Principal (June 2006).
		Co-SC/OBC	109.00	The amount was part of the central grant released since 1998-99 and was lying in fixed deposits (September 2006)
		Book Bank-SC	5.96	The amount pertains to 3 test checked districts.
		Upgradation-SC	44.40	The amount relating to the year 2005-06 was kept in a PD account.
2.	<b>Andaman &amp; Nicobar</b>	Post MS (ST)	3.13	Out of the total central assistance amounting to Rs. 13.84 lakh, the Department of Education utilised Rs. 9.55 lakh during 2001-06.
3.	<b>Arunachal Pradesh</b>	Post MS (ST)	183.76	The State Government did not utilise the amount since the students were paid scholarship from State funds and Rs. 183.76 lakh out of Rs. 195.19 lakh released by the Ministry in June 2003 for the year, remained unspent for 3 years.
		Upgradation-ST	2.38	This scheme is being implemented by two NGOs. Rs. 2.38 lakh is lying unspent since 2005-06 (till October 2006).
4.	<b>Assam</b>	Post MS (SC & ST)	39.69	The amount was lying with 13 institutions and 5 Integrated Tribal Development Projects (ITDPs).
		Upgradation-SC & ST	13.50	Rs. 31.65 lakh was released by Government of India for 119 ST and 92 SC candidates respectively during 2001-05 out of which Rs. 13.50 lakh was lying unspent (September 2006).
5.	<b>Bihar</b>	Post MS (ST)	696.00	Out of Rs. 11.05 crore released by the Ministry of Tribal Affairs during 1999-2000, only Rs. 4.05 crore was utilised leaving a balance of Rs. 7.00 crore. The State Government did not incur any expenditure above its committed liability during 2000-05 and thus no central share was due. Central share admissible during 2005-06 was Rs. 10 lakh and thus unspent balance was Rs. 6.90 crore. In 2004-05, out of Rs. 0.46 crore allotted to one district, Rs. 0.06 crore was kept unauthorisedly in a civil deposit as of July 2006. The Ministry replied (July 2006) that the unspent amount of Rs. 6.90 crore has not been surrendered by the state government of <b>Bihar</b> despite reminders.

Sl. no.	State	Scheme	Amount (Rs.in Lakh)	Remarks
		Upgradation-SC	11.00	The scheme was not implemented in the state during 2001-05. Rs. 11.00 lakh remained unutilised.
6.	<b>Chhattisgarh</b>	Pre MS	116.14	Against the actual share of Rs.17.58 lakh, an amount of Rs.1.02 crore was released by the Ministry during 2001-06. The extra amount has not been refunded to the Ministry. Rs. 31.72 lakh was not credited to Government account by ACTD, Raipur and Chief Executive Officer, Zila Panchayat, Bastar
		Post MS (SC & ST)	1836.62	Rs. 444.63 lakh and Rs. 23.21 lakh lying unspent as actual share of the Central government was less than the amount of central assistance released during 2001-06 under PMS-SC and ST respectively. Rs.13.49 crore which remained unspent during 2001-06 was not credited to Government account by 5 ACTDs. In addition, Rs. 19.78 lakh and Rs. 40.46 lakh was lying with the nodal officers of 2 ACTDs.
		Upgradation -SC & ST	8.37	Union Government released Rs. 56.25 lakh (for SC students) and Rs. 59.55 lakh (for ST students) during 2001-06, however actual expenditure was Rs. 53.09 lakh and Rs. 54.34 lakh respectively.
7	<b>Chandigarh</b>	Co-SC	1.87	This amount was lying unspent with the accounts branch of the Punjab University. Consequently, it could not receive further assistance.
8.	<b>Delhi</b>	Post MS (SC)	29.03	Rs. 28.21 lakh lying unspent as actual expenditure was less than the committed liability and out of 20 colleges test checked, scholarship amounting to Rs. 1.09 lakh (including Rs. 0.12 lakh pertaining to the period prior to 2000-01) was lying undisbursed with 9 colleges. When pointed out by audit, Rs. 0.27 lakh was refunded by two colleges.
		Coaching and Allied scheme for SC	8.73	The State Government department did not utilise Rs. 8.73 lakh out of Rs. 10 lakh received from the Ministry of Social Justice & Empowerment during 2001-06.
9	<b>Goa</b>	Post MS (SC & ST)	0.64	The amount released to two institutions during 2001-06 was lying unutilised. Reasons for non-utilisation were not on record..
10	<b>Gujarat</b>	Post MS (SC & ST)	48.26	The departments booked the grants as final expenditure though grants were not fully utilised. As of 31 March 2006, unspent balance of Rs. 48.26 lakh was lying with 9 institutes..
11.	<b>Haryana</b>	Pre MS	50.67	The actual expenditure under this scheme never exceeded the committed liability of the State Government during 2001-06. The unspent grant at the end of the year was not refunded to GOI.
		Post MS (SC & ST)	18.31	Out of Rs. 29.18 lakh which was not disbursed, Rs. 10.87 lakh was deposited by the institutes/DWOs into government account. Balance of Rs. 18.31 lakh was lying with the institutions (September 2006).

Sl. no.	State	Scheme	Amount (Rs.in Lakh)	Remarks
		Co-SC	1.84	Out of Rs. 2.70 lakh released to the Gandhi Memorial National College, Ambala on adhoc basis during 2004-05, only Rs. 0.94 lakh was spent
12	<b>Meghalaya</b>	Post MS (ST)	653.55	Though actual unspent balance was Rs. 653.55 lakh, the amount intimated to the Central government was Rs. 473.06 lakh.
13	<b>Orissa</b>	Book Bank-ST	1.18	Out of Rs. 2.21 lakh released during 2003-06, an amount of Rs. 1.18 lakh was lying unspent with one university.
		Upgradation-SC	163.60	Out of Rs. 2.44 crore received Rs. 1.05 crore only was utilised and Rs. 24.14 lakh released to 6 implementing agencies in 2001-05 was lying unutilised as of March 2006.
		Upgradation-ST	0.76	Out of Rs. 61.20 lakh received during 2001-06, Rs. 60.44 lakh was utilised.
		Co-SC/ST	4.73	Out of Rs. 13.07 lakh, the Utkal University incurred expenditure of Rs. 8.42 lakh only and earned 0.08 lakh interest on unspent balance.
14	<b>Punjab</b>	Pre MS	7.02	The DEO (EE) Ludhiana intimated the number of students on estimated basis for release of funds. As a consequence, Rs. 7.02 lakh remained unspent during 2001-06 and was lying in current account (August 2006).
		Post MS (SC)	2.94	Rs. 34.65 lakh was released to 16 nodal officers of the State in 2004-05 out of which Rs. 31.71 lakh was utilised and the balance was not refunded as of August 2006.
		Book Bank-SC	0.19	The amount received by one institute in May 2003 was neither utilised nor refunded.
		Upgradation-SC	4.20	The scheme was not implemented during 2001-06 and central assistance received during 1999-2000 was lying unspent with the State Finance Department.
15.	<b>Pondicherry</b>	Pre MS	12.82	The expenditure was less than the amount received from GOI.
		Post MS (SC)	60.23	Amount provided to implementing agencies by UT Administration was less than the amount received from GOI.
16	<b>Rajasthan</b>	Post MS (SC & ST)	10.22	Undisbursed scholarship amount of Rs. 10.22 lakh was not recovered from the Director, Technical Education, Jodhpur.
17.	<b>Tamil Nadu</b>	Post MS (SC)	101.43	Actual share of the Central Government was less than the amount of central assistance released during 2001-06.
		Upgradation-SC	9.40	Out of Rs. 36.15 lakh released by Government of India upto 1994-95, Rs. 26.75 lakh only was utilised leaving unspent balance of Rs. 9.40 lakh.

Sl. no.	State	Scheme	Amount (Rs.in Lakh)	Remarks
18.	Tripura	Post MS (SC & ST)	21.33	Though there was unspent balance of Rs. 5.00 lakh, UC was furnished for the full amount and Rs. 13.75 lakh was lying with the Principal, Agartala Government Medical College since December 2005 due to non finalisation of eligibility criteria under PMS for SC. Rs. 2.58 lakh relating the PMS-ST was lying in the bank account of the society as of October 2006
		Book Bank-ST	0.38	This amount has been lying unspent with a polytechnic institute since 2002-03.
19.	Uttar Pradesh	Pre MS	75.63	State Government attributed the non utilisation of Rs. 70.00 lakh to non availability of eligible beneficiaries. Out of Rs. 7.40 crore, Rs. 6.98 crore was utilised and Rs. 35.43 lakh was refunded/ surrendered by DSWOs leaving an unspent amount of Rs. 5.63 lakh.
		Post MS (SC)	455.40	Rs. 384.07 lakh was lying with institutions in 14 test checked districts. Besides, unspent balance of Rs. 71.33 lakh was lying with the Allahabad University in bank accounts. The DSWOs had reported progress based on the funds released to the institutions.
20.	Uttaranchal	Pre MS	11.62	Scrutiny of the monthly progress reports for the months of March (2001-02 to 2005-06) of the Director, Social Welfare, revealed that the department had unspent balance of Rs. 11.62 lakh.
<b>Total</b>			<b>5056.26</b>	

**Annex-VII**  
**(Refers to Paragraph 8.1.2.8)**  
**Inadequate publicity of schemes**

Name of the State	Names of the schemes for which adequate publicity was not given by the State Governments
<b>Andaman and Nicobar</b>	Post Matric Scholarship Scheme for STs
<b>Bihar</b>	Pre Matric Scholarship to the children of those engaged in unclean occupations and Post Matric Scholarship Scheme for SCs/STs
<b>Daman and Diu</b>	Post Matric Scholarship Scheme for SCs/STs
<b>Jharkhand</b>	Pre Matric Scholarship to the children of those engaged in unclean occupations
<b>Maharashtra</b>	Book Bank Scheme for SCs/ STs
<b>Orissa</b>	Book Bank for SCs & STs
<b>Punjab</b>	Post Matric Scholarship
<b>Rajasthan</b>	Pre Matric Scholarship to the children of those engaged in unclean occupations
<b>Uttar Pradesh</b>	Post Matric Scholarship and Book Bank Scheme
<b>Arunachal Pradesh, Chhattisgarh, Himachal Pradesh, Jammu &amp; Kashmir and Meghalaya</b>	Pre Matric Scholarship to the children of those engaged in unclean occupations, Post Matric Scholarship and Book Bank

**Annex-VIII**  
**(Reference Paragraph 8.1.3.1)**

**Central assistance released under the scheme of Pre-Matric Scholarship for the children of those engaged in unclean occupation alongwith number of beneficiaries**

*(Rupees in lakh)*

Sl. No.	Name of State/UT	2001-02		2002-03		2003-04		2004-05		2005-06		Total amount released
		Amount released	Actual beneficiaries	Amount released	Actual beneficiaries	Amount released	Actual beneficiaries	Amount released	Actual beneficiaries	Amount released	Actual beneficiaries	
1.	Andhra Pradesh	57.94	13620	252.60	33399	458.90	24007	500.59	27529	262.37	28578	1532.40
2.	Assam	0.00	1601	8.12	3052	10.07	3089	4.21	3148	2.10	NA	24.50
3.	Bihar	15.47	6058	20.00	7529	32.79	2209	0.00	4593	0.00	4593	68.26
4.	Chhattisgarh	2.24	14594	21.81	15130	20.54	11497	8.45	12854	49.06	24587	102.10
5.	Delhi	0.00	0	0.00	0	0.00	0	0.00	0	0.00	-	0.00
6.	Goa	0.72	83	0.02	176	0.33	150	0.33	238	1.56	360	12.96
7.	Gujarat	510.07	161016	0.00	222330	345.57	200055	103.67	228725	354.03	226271	1313.34
8.	Haryana	38.20	19643	0.00	20253	0.00	16592	0.00	19700	0.00	-	38.20
9.	Himachal Pradesh	0.00	2688	9.74	0	0.00	3335	0.00	NA	0.00	-	9.74
10.	J&K	0.00	734	5.09	0	0.00	0	0.00	985	0.00	3260	5.09
11.	Jharkhand	30.20	2300	1.15	4639	0.00	753	0.00	988	0.00	-	31.35
12.	Karnataka	3.36	3709	11.46	3824	0.00	0	0.00	4711	0.00	-	14.82
13.	Kerala	0.00	1127	0.00	877	0.00	470	0.00	597	0.00	1788	0.00
14.	Madhya Pradesh	70.15	68096	62.63	75094	85.60	57517	126.56	57634	10.50	58594	355.44
15.	Maharashtra	154.41	53729	0.00	63996	157.74	57204	47.32	70692	215.62	74225	575.09
16.	Orissa	4.00	45	2.08	994	0.00	1641	0.00	NA	0.00	-	6.08
17.	Punjab	0.00	8791	0.00	7416	18.51	0	5.55	8472	0.00	8488	24.06
18.	Rajasthan	59.69	19482	38.83	30363	124.59	16496	63.88	22375	31.94	39276	318.93
19.	Sikkim	0.00	0	0.00	0	0.00	0	0.00	NA	0.00	-	0.00
20.	Tamil Nadu	49.72	43812	61.50	39450	91.04	42334	27.31	42257	86.47	45216	316.04
21.	Tripura	3.08	4234	2.30	4592	6.64	4486	5.99	4208	7.57	4480	25.58
22.	Uttar Pradesh	0.00	39732	0.00	46829	92.56	56399	88.32	47641	44.16	62853	225.04
23.	Uttaranchal	2.21	250	7.79	0	0.00	1245	0.00	1352	4.78	1080	14.78
24.	West Bengal	2.81	420	5.59	1390	6.82	1870	2.05	781	0.00	3201	17.27
25.	Pondicherry	0.00	999	1.80	1049	8.46	1615	5.00	1814	10.00	2045	25.26
	<b>Total</b>	<b>1004.27</b>	<b>466763</b>	<b>512.51</b>	<b>582382</b>	<b>1460.16</b>	<b>502964</b>	<b>989.23</b>	<b>561294</b>	<b>1080.16</b>	<b>588895</b>	<b>5046.33</b>

**Annex-IX**  
**(Reference Paragraph 8.1.3.1)**

**Central assistance released under the scheme of Post-Matric Scholarships for SCs alongwith number of beneficiaries**

(Rupees in lakh)

Sl. No.	Name of State/UT	2001-02		2002-03		2003-04		2004-05		2005-06		Total amount released
		Amount released	Actual beneficiaries	Amount released	Actual beneficiaries	Amount released	Actual beneficiaries	Amount released	Actual beneficiaries	Amount released	Actual beneficiaries (anticipated)	
1.	Andhra Pradesh	6426.72	217212	5804.52	237244	5449.14	276880	8434.74	346495	9435.46	399960	35,550.58
2.	Assam	272.31	12516	221.32	12550	412.41	14007	228.28	23370	490.41	21600	1624.73
3.	Bihar	0.00	26324	0.00	26506	0.00	29031	1000.00	37187	1100.00	37425	2100.00
4.	Chattisgarh	229.97	27717	106.83	30475	352.72	33523	1567.79	65697	526.00	46356	2783.31
5.	Goa	0.00	117	2.70	82	0.00	92	1.93	94	3.00	104	7.63
6.	Gujarat	60.14	45785	0.00	49005	1373.70	81766	463.84	81656	940.12	80141	2837.80
7.	Haryana	275.61	15480	180.92	15918	228.53	15930	425.90	15510	456.00	18812	1566.96
8.	Himachal Pradesh	21.84	4715	0.00	4430	11.82	5216	31.21	5295	143.87	5593	208.74
9.	J & K	85.36	6799	19.99	6021	95.99	4581	28.80	5974	136.31	12834	366.45
10.	Jharkhand	0.00	13289	266.64	15937	281.04	10950	84.31	12045	0.00	13740	631.99
11.	Karnataka	732.13	125204	984.47	137014	2481.94	87103	2699.81	154265	2652.00	169690	9550.35
12.	Kerala	938.16	77779	674.44	89577	677.57	73550	999.49	81234	3771.00	88452	7060.66
13.	Madhya Pradesh	490.53	71132	371.82	78229	1278.93	82554	1366.60	81602	3064.10	89495	6571.98
14.	Maharashtra	658.33	195114	1696.66	228677	2765.58	288182	4220.47	318515	8490.95	334441	17831.99
15.	Manipur	48.15	3341	71.28	1187	82.77	2744	84.13	3380	126.43	3719	412.76
16.	Meghalaya	5.47	1100	6.90	1210	15.55	1331	4.67	1464	8.33	1554	40.92
17.	Orissa	0.00	41358	0.00	43130	0.00	45718	0.00	NA	0.00	0	0.00
18.	Punjab	239.90	9245	60.00	21715	0.00	5920	0.00	6930	0.00	43370	299.90
19.	Rajasthan	470.13	63367	400.67	78373	1207.70	83948	1157.87	79985	1508.34	120328	4744.71
20.	Sikkim	0.00	0	0.00	0	0.00	194	0.00	NA	0.00	0	0.00
21.	Tamil Nadu	1168.95	215091	1658.56	201437	2184.45	234324	2891.70	241718	6982.18	253803	14885.84
22.	Tripura	138.71	7172	85.06	7926	174.24	8491	195.84	8640	222.39	9040	816.24
23.	UP	2304.94	351000	1994.41	403425	5137.58	391380	5937.70	481804	11087.00	511122	26461.63
24.	Uttaranchal	411.74	27520	0.00	24119	0.00	25805	302.25	27784	2961.13	30608	1010.12
25.	West Bengal	911.06	147601	677.37	168386	2165.81	166415	849.22	180789	3279.00	203710	7882.46
26.	Daman & Diu	2.50	95	0.08	0	0.00	62	0.89	79	0.50	0	3.97
27.	Dadar & Nagar Haveli	0.00	39	0.00	0	0.00	0	0.00	NA	0.00	0	0.00
28.	Delhi	0.00	8919	0.00	7793	22.16	10021	6.65	371	0.00	443	28.81
29.	Pondicherry	35.00	2901	20.03	3694	99.74	3578	43.35	2438	90.00	2519	288.12
	<b>Total</b>	<b>15927.65</b>	<b>1717932</b>	<b>15304.67</b>	<b>1894060</b>	<b>26499.37</b>	<b>1983296</b>	<b>33027.44</b>	<b>2264321</b>	<b>54,809.52</b>	<b>2498859</b>	<b>145,568.65</b>

## Annex-X

## (Reference Paragraph 8.1.3.1)

## Central assistance released under the scheme of Post-Matric Scholarships for STs alongwith number of beneficiaries

(Rupees in lakh)

Sl. No.	Name of State/UT	2001-02		2002-03		2003-04		2004-05		2005-06		Total amount released
		Amount released	Actual beneficiaries	Amount released	Actual beneficiaries	Amount released	Actual beneficiaries	Amount released	Actual beneficiaries	Amount released	Actual beneficiaries (anticipated)	
1.	Andhra Pradesh	1915.09	58060	774.88	60652	2435.70	69427	1084.23	107562	3606.78	118484	9816.69
2.	Arunachal Pradesh	0	1130	0	3544	65.19	8190	0	13347	0.00	13347	65.19
3.	Assam	0	44965	1275.94	0	0	57850	100.00	15117	1200.32	64898	2576.26
4.	Bihar	0	2257	0	1929	0	1796	0	1785	0.00	2982	0.00
5.	Gujarat	0	59417	0	74172	185.27	162446	222.43	97923	2447.59	98763	2855.29
6.	Himachal Pradesh	20.27	3955	0	2280	0	2956	9.17	2810	6.61	3520	36.05
7.	J & K	39.03	2899	6.5	5116	0	993	196.07	5783	508.08	8688	749.68
8.	Karnataka	239.75	37458	75.38	24455	0	31892	400.00	37468	2020.50	41218	2735.63
9.	Kerala	92.00	3555	0	4624	0	5200	146.14	5783	289.72	6600	527.86
10.	Madhya Pradesh	323.18	36746	0	40032	81.62	41649	899.04	55019	288.70	46000	1592.54
11.	Maharashtra	368.61	54286	165.02	55403	391.92	55449	1042.86	77923	1031.44	88908	2999.85
12.	Manipur	737.49	31746	820.11	32152	928.93	30274	538.97	31427	1794.00	34570	4819.50
13.	Meghalaya	732.71	36251	805.98	39876	339.99	41869	926.28	43962	1925.31	45000	4730.27
14.	Mizoram	249.00	13590	370.98	14190	369.00	17612	900.99	38368	840.85	27475	2730.82
15.	Nagaland	509.82	21898	697.19	24753	1028.61	27615	507.00	30309	982.50	32000	3725.12
16.	Orissa	0	30545	0	33526	0	39113	0	33623	100.00	39898	100.00
17.	Rajasthan	1110.05	61872	131.95	65199	484.00	68404	1792.57	73297	1261.98	80373	4780.55
18.	Sikkim	0	370	0	689	12.69	672	15.01	647	9.94	1232	37.64
19.	Tamil Nadu	3.31	418	0	589	0	1545	49.05	2108	17.86	2231	70.22
20.	Tripura	90.79	6217	0	5462	161.09	6251	296.19	7438	195.14	8802	743.21
21.	Uttar Pradesh	6.4	378	0	354	0	468	107.62	5079	56.07	4900	170.09
22.	West Bengal	73.63	12391	0	13323	94.57	11135	345.31	20764	245.21	19360	758.72
23.	A&N Island	0.55	171	1.59	199	0.89	111	2.74	184	3.09	292	8.86
24.	Daman & Diu	0	0	1.05	14	0	19	0	24	0.00	30	1.05
25.	Uttaranchal	166.54	8117	0	8582	0	9839	137.5	10277	85.05	10937	389.09
26.	Chhattisgarh	0	44147	32.07	46907	0	54645	206.45	46752	1254.04	53658	1492.56
27.	Jharkhand	0	30221	0	27272	0	8187	200.00	20452	841.26	21637	1041.26
28.	Goa	0	0	0	0	0	262	12.09	557	6.04	590	18.13
	<b>Total</b>	<b>6678.22</b>	<b>603060</b>	<b>5158.64</b>	<b>585294</b>	<b>6579.47</b>	<b>755869</b>	<b>10137.71</b>	<b>785788</b>	<b>21018.09</b>	<b>876303</b>	<b>49572.13</b>



**Annex XI**  
**(Refers to Paragraph 8.1.3.3)**  
**Short coverage of eligible beneficiaries**

Sl. no.	State	Scheme	Remarks
1.	<b>Andhra Pradesh</b>	Pre MS	In seven of the test checked districts no scholarship was paid to eligible beneficiaries during 2001-04. In West Godavari no scholarship was paid during 2002-04 due to non release of funds by CSW.
2.	<b>Assam</b>	Pre MS	Test check of records of 11 districts disclosed that 2313 students were not covered though the Director of Welfare (SC), reported cent percent coverage against receipt of applications during 2001-06.
		Post MS (SC & ST)	In the test checked districts, 26031 (for SC) and 8865 (for ST) applications were received for scholarship against which 22024 and 6088 students respectively were covered during 2001-06 due to shortage of funds.
3.	<b>Bihar</b>	Post MS (SC & ST)	In 2 test checked districts, 3746 students (3725-SC and 21-ST) could not get their scholarship due to shortage of funds. Further, the records of number of applications received for scholarship were not available in 8 other test checked districts. Therefore, audit could not ascertain the extent of uncovered students.
4.	<b>Chhattisgarh</b>	Pre MS	In 4 test checked districts, 5360 beneficiaries remained uncovered due to lack of budget/funds during 2001-06 and in respect of 32 beneficiaries, cheques were prepared but not disbursed.
5.	<b>Daman &amp; Diu</b>	Post MS (SC & ST)	Test check of selected institutions revealed that four children were deprived of the scholarship as they had not applied for the same due to non awareness of the scheme. Further, certain vocational courses such as ITI Diploma were not included in the purview of the scheme.
6.	<b>Delhi</b>	Post MS (SC)	Coverage of students of correspondence courses was poor. Only 3 students from IGNOU were covered during 2004-06.
7.	<b>Gujarat</b>	Post MS (ST)	In Surat district, 29441 ST students were deprived of the scholarship in 2001-02 due to non release of Rs. 26 lakh by the Government. In one University, 1775 students could not get the scholarship of Rs. 40.69 lakh for 2004-06 till March 2006 as the forms were not sent by the University to the District Backward Class Welfare Office.
8.	<b>Himachal Pradesh</b>	Pre MS	In 6 test checked districts, 6882 beneficiaries remained uncovered during 2001-06. The reasons for shortfall were not intimated.
		Post MS (SC & ST)	In 7 test checked districts, against 5803 applications received, only 4874 scholarships were disbursed. Reasons for shortfall were not intimated by the Director of Secondary Education.
9.	<b>Jharkhand</b>	Pre MS	In one district of Jharkhand, 175 students provided scholarship during 2001-02, were not provided scholarship during 2002-06 for which no reasons were given.
		Post MS (SC & ST)	In 5 districts, 4378 SC & ST students during 2002-06 were not paid scholarship.
10.	<b>J&amp;K</b>	Post MS (SC & ST)	In Jammu division, 15 students (SC-9 and ST-6) were not covered as the department did not apply the enhanced income limit.
11.	<b>Karnataka</b>	Post MS (SC)	In 3 districts, 20473 applications received from the students were pending due to non release of funds.

Pre MS:- Pre-Matric Scholarship  
Post MS:- Post-Matric Scholarship

Sl. no.	State	Scheme	Remarks
12.	<b>Maharashtra</b>	Pre MS	In 9 districts, 5481 out of 92791 applications were pending during 2001-06. The district officials stated that the applications were pending due to lack of sufficient funds.
		Post MS (SC & ST)	3743 to 27090 applications were pending during 2001-06 in 10 districts due to paucity of funds.
13.	<b>Orissa</b>	Post MS (SC & ST)	In 4 DWOs and 5 colleges, 3954 SC students and 7711 ST students remained uncovered for different periods during 2001-06 due to non-availability funds.
14.	<b>Punjab</b>	Pre MS	Test check of records of three schools revealed that 54 eligible students were not covered due to late submission of form by the Principal during 2004-05.
		Post MS (SC)	Application forms for award of scholarship to 1849 students during 2001-06 in 27 institutions were sent to the implementing agencies. Funds were however not released by them. Further, the scheme was not implemented in Industrial Training Institutes during 2001-05 due to non clearance of the scheme by the Department of Welfare.
15.	<b>Rajasthan</b>	Post MS (SC &ST)	Scholarships to 2356 students (1600 SC and 756 ST) of institutions run by the Directorate, Sanskriti Education in the state during 2004-06 were not disbursed due to non allotment of budget by SWD. In 3 out of 9 test checked districts, scholarship was not distributed to 13785 students (2076 SC and 11709 ST) due to short release of funds. In 21 colleges out of 53 test checked colleges and in 10 schools out of 18, scholarships to 8459 students (2081 SC and 6378 ST) were not distributed due to shortage of budget.
16.	<b>Uttar Pradesh</b>	Pre MS	During 2004-06, no funds were allotted to Gonda district though 350 students benefited during 2001-04. Similarly, funds were not provided to Etah district during 2001-03 while 1569 to 3640 students were provided scholarship during 2003-06.
		Post MS (SC&ST)	444 institutions in 9 districts were not allotted funds due to non availability of funds as stated by the concerned DSWOs. On the other hand, some institutions were allotted excess funds resulting in Rs. 6.67 crore being surrendered instead of being disbursed which indicated financial mismanagement. During 2003-04 some more castes were declared as scheduled tribes, but no scholarship funds were released to the DSWO, Azamgarh, Ballia, Sidharthnagar and Jaunpur for 2005-06 as these DSWOs did not demand funds for ST students. In 2004-05, funds were not provided for 4662 (SC) students of Allahabad University.
17.	<b>West Bengal</b>	Pre MS	In 6 districts, 1993 eligible students were deprived of benefits during 2001-06 due to non-release of funds though these students had submitted applications. Further, the scheme was not implemented in six to 20 municipalities during 2001-06 and six to 12 blocks during 2001-05 out of 31 municipalities and 97 blocks in the test checked districts. No students in 97 blocks were given such scholarship during 2005-06. In Cooch Behar district, no application was invited from eligible students during 2005-06.
		Post MS (SC&ST)	In 7 districts, 25000 and 4000 SC & ST students who submitted applications were deprived of the benefits of the scheme as of March 2006 due to non release of funds.

**Annex XII**  
**(Refers to Paragraph 8.1.3.4)**  
**Scholarship paid to ineligible beneficiaries**

Sl. no.	State	Scheme	Amount (Rupees in lakh)	Remarks
1.	<b>Andhra Pradesh</b>	Pre MS	0.35	In one district scholarship amounting to Rs. 0.35 lakh was paid during 2004-06 to 20 ineligible candidates i.e. children of auto drivers, contractors, private/government employees on the basis of recommendation of ASWO.
2.	<b>Arunachal Pradesh</b>	Post MS (ST)	NA	In 10 test checked schools of five districts it was observed that income criteria prescribed for awarding of scholarship had not been strictly adhered to. In one school a student whose father was a member of the State Civil Service had been selected for award of scholarship.
3.	<b>Chhattisgarh</b>	Pre MS	4.88	In ACTD Bastar, scholarship amounting to Rs. 4.70 lakh was sanctioned and paid during 2001-06 to students not belonging to families engaged in unclean occupations. Besides, scholarship was paid to students not belonging to this category in 35 out of 150 cases in Sarguja district. In ACTD Raigarh, in 17 cases scholarship of Rs. 0.18 lakh was paid to students who failed in previous classes.
		Post MS (SC &ST)	10.73	Test check of records of ACTD Raigarh revealed that 39 SC/ST students were reimbursed fee amounting to Rs. 10.73 lakh under the scheme during 2004-06 though their parents' incomes were more than the prescribed limit of Rs. 1 lakh.
4.	<b>Haryana</b>	Post MS (SC)	0.28	Records of the office of DWO Sirsa revealed that Rs. 0.28 lakh was paid to one student (SC) during 2004-06 whose parents' income was more than the prescribed limit of Rs. 1 lakh.
5.	<b>Jharkhand</b>	Post MS (SC &ST)	0.16	Payment of Rs. 0.16 lakh was made on account of reimbursement of fee and scholarship to 3 students in West Singhbhum district during 2001-04 though their parents' income was more than the prescribed income level.
6.	<b>Madhya Pradesh</b>	Pre MS	NA	In Ujjain district, scholarship was paid to 7653 ineligible children during 2001-03 due to inadequate scrutiny of occupation certificates. In two other districts (Jhabua-6308 cases and Mandsaur-NA), the certificate of engagement did not indicate the actual occupation and main source of income of parents whose children benefitted under the scheme.
7.	<b>Maharashtra</b>	Pre MS	6.18	In 4 out of 10 districts, the District Social Welfare Office extended scholarship benefits amounting to Rs. 6.18 lakh to 625 students whose parents were not engaged in unclean occupations during the period 2001-06.
8.	<b>Nagaland</b>	Post MS (ST)	1.57	In 8 unrecognised colleges, scholarship of Rs. 1.57 lakh was irregularly paid to 38 ST beneficiaries, as it was sanctioned on the basis of affidavit instead of income certificate obtained from the competent authority.
9.	<b>Pondicherry</b>	Pre MS	11.60	Rs. 11.60 lakh was paid during 2004-06 to children of sanitary assistants and safaiwalas working in Government.

*Report No. 14 of 2007*

<b>Sl. no.</b>	<b>State</b>	<b>Scheme</b>	<b>Amount (Rupees in lakh)</b>	<b>Remarks</b>
10.	<b>Punjab</b>	Post MS (SC)	2.33	Scholarship amounting to Rs. 1.23 lakh pertaining to the year 2001-02 was paid to 2 SC students whose parents' income exceeded the income ceiling and scholarship of Rs. 1.10 lakh was paid for the same year to a backward class student also although he was not entitled under the scheme.
11.	<b>Rajasthan</b>	Post MS (SC &ST)	870.00	The SWD and Tribal Area Development Department (TAD) made payment of scholarship amounting to Rs. 8.70 crore to students studying in 48 unrecognised centres during 2001-06.
<b>Total</b>			<b>908.08</b>	

**Annex XIII**  
**(Refers to Paragraph 8.1.3.6)**  
**Delayed payment of scholarship**

Sl. no.	State	Scheme	Remarks
1.	<b>Andhra Pradesh</b>	Post MS (SC)	Delay of one to three months in receipt of scholarship amount was noticed throughout the year in all six test checked districts. The scholarship amount for the last quarter was received after the academic year. In Mahboobnagar, Rs. 92.50 lakh was released in second quarter of 2003-04 for clearing the arrears of 2002-03.
2.	<b>Chhattisgarh</b>	Pre MS	Drawal of scholarship amount of Rs. 1.52 crore after a delay of two to six months in five test checked districts during 2001-05 resulted in delayed payment to students in the subsequent academic year or at the end of the financial year.
		Post MS (SC & ST)	Rs. 283.92 lakh (for SC) and Rs. 388.81 lakh (for ST) were disbursed during the subsequent year or at the fag end of the financial year in five test checked districts. Further, in five test checked districts it was also observed that Rs. 14.31 crore (for ST) and Rs. 13.80 crore (for SC) was drawn with a delay of two to six months. This resulted in delayed payment to the students.
3.	<b>Daman &amp; Diu</b>	Post MS (SC/ST)	In 7 cases, scholarships were granted to students who completed the courses 2-3 years earlier during 2003-06.
4.	<b>Delhi</b>	Post MS (SC)	Twenty cheques for Rs. 0.73 lakh were released to 20 students 4-5 days before the expiry date during 2004-05. Consequently, all 20 cheques were returned for revalidation resulting in delay in distribution of scholarship.
5.	<b>Goa</b>	Pre MS	Claims of Rs. 1.40 lakh pertaining to the earlier years were paid in subsequent years during 2001-04.
		Post MS (SC & ST)	Claims (SC-39 and ST-236) were not paid within the same year due to budget constraints. Further, test check in 24 institutions revealed that out of 142 cases, disbursement in respect of 70 cases was made after a gap of 3 to 4 months from the date of issue of sanction orders.
6.	<b>Gujarat</b>	Post MS (SC & ST)	In 8 test checked colleges, scholarships amounting to Rs. 62.84 lakh were paid after a delay of one year.
7.	<b>Haryana</b>	Post MS-SC	During 2001-06, Rs. 1.85 crore was released to the implementing agencies with delays of two to seven months which delayed payment to 5099 students. Scholarship in respect of 2500 students for the year 2005-06 had not been released till September 2006.
8.	<b>Jharkhand</b>	Post MS (SC & ST)	Test check of records of 4 districts revealed that stipend/scholarship money pertaining to one academic session was not paid during the same academic session (2001-06) and remained unpaid even at the close of the financial year. Further, in 16 colleges of 2 districts, 14255 students (2319 SC and 11963 ST) were paid scholarship with delays of 3 to 24 months.
9.	<b>Kerala</b>	Post MS (SC)	In 13 institutions, the last instalment was released at the fag end of the financial year and disbursed to the beneficiaries during the next year.
10.	<b>Maharashtra</b>	Post MS (SC & ST)	In 10 test checked districts, it was observed that during 2001-06 majority of the funds were made available only towards the end of the year as a result of which the maintenance grants to the students could be disbursed only in the next academic year. Further, during 2001-06 in 59 test checked colleges, the entire amount of scholarship was paid during the end of the year or the next year.
11.	<b>Manipur</b>	Post MS (SC & ST)	Funds were released during 2001-06 at the fag end of the year resulting in delay in disbursement of scholarship.

Sl. no.	State	Scheme	Remarks
12.	<b>Meghalaya</b>	Post MS (SC & ST)	State government delayed the release of funds by 3 to 14 months in the case of PMS-SC and 3 to 12 months in the case of PMS-ST.
13.	<b>Punjab</b>	Post MS-SC	Funds amounting to Rs. 30.09 lakh for the years 2001-05 were released by DPI (C) and DPI (S) during subsequent academic years with delays ranging between 3 to 27 months.
14.	<b>Rajasthan</b>	Pre MS	Central share was received in March 2002 but the Social Welfare Department released Rs. 61.24 lakh to the Director, Elementary Education in June 2002 as a result of which scholarship was distributed after the session was over.
		Post MS (SC & ST)	Due to delays of 2 to 9 months in the sanctioning of funds by SWD, scholarship amounting to Rs. 17.53 crore was distributed in the next academic session.
15.	<b>Tamil Nadu</b>	Pre MS	In one district, due to non release of required funds to the colleges and delay on the part of the colleges/institutions in submission of claims during 2003-06, scholarship amounting to Rs. 2.25 lakh was disbursed to 216 beneficiaries in the succeeding years.
		Post MS (SC & ST)	During 2003-06 in 6 test checked districts, 16204 students were paid scholarship in the succeeding years.
16.	<b>Uttar Pradesh</b>	Post MS (SC)	8 institutions of 6 districts were not aware of the amount of scholarship sent to them as the DSWO had remitted the scholarship directly to the banks and the list of beneficiaries to concerned District Inspector of schools. The latter did not forward the list to the institutions. This resulted in late disbursement and non-utilisation of scholarship by the institutions.

**Annex XIV**  
**(Refers to Paragraph 8.1.3.7)**  
**Scholarships partially disbursed/not disbursed**

Sl. no.	State	Scheme	Remarks
1.	<b>Andhra Pradesh</b>	Pre MS	In West Godavari, 1540 students were paid only adhoc grant during 2001-02 and no scholarship during 2005-06. In Hyderabad, adhoc grant amounting to Rs. 0.50 lakh was not paid to 91 students during 2004-06 despite availability of funds.
		Post MS (SC & ST)	In two districts, scholarship amounting to Rs. 1.78 lakh relating to 86 students were refunded as the students completed the courses and left the colleges. Further, the Ministry of Tribal Affairs issued instructions enhancing maintenance charges in February 2004 but Commissioner Tribal Welfare of the State issued an order enhancing maintenance charges only from the academic year 2005-06. The delay resulted in all the ST students being deprived of the enhanced rate for one year.
2	<b>Assam</b>	Post MS (SC & ST)	Records of the Directors of Welfare of Plain Tribes & Backward Classes (WPTBC) and Welfare of SCs revealed that funds were released to the field offices after a lapse of 1-6 years when the beneficiaries left the colleges.
3.	<b>Chhattisgarh</b>	Post MS (SC & ST)	Scholarship amounting to Rs. 1.04 lakh was not paid at prescribed rate in one district to 132 SC and 12 ST students
4.	<b>Gujarat</b>	Post MS (SC & ST)	In two districts, there was underpayment of scholarship amounting to Rs. 11.56 lakh to 2750 ST students due to incorrect classification of group after revision of the scheme w.e.f. 1.4.2004. Further 6689 ST and 287 SC students were not paid arrears aggregating to Rs. 55.27 lakh and Rs. 1.78 lakh respectively during 2003-04.
5.	<b>Himachal Pradesh</b>	Pre MS	Records of the Dy. Directors of six test checked districts revealed that scholarship amounting to Rs. 34.48 lakh relating to 4017 students for the year 2002-04 was not disbursed as of August 2006 as list of beneficiaries was awaited from the district welfare officer till August 2006.
6.	<b>Jharkhand</b>	Pre MS	In 14 schools of 3 test checked districts, stipend of Rs. 7.09 lakh was not disbursed to 1336 students by the concerned DWOs during 2001-06.
		Post MS (SC & ST)	In 5 test checked districts, 1378 SC and 3711 ST students were not paid scholarship amounting to Rs. 20.66 lakh and Rs. 73.94 lakh respectively during 2002-06.
7.	<b>Meghalaya</b>	Post MS (SC)	Rs. 7.08 lakh was sanctioned by GOI in March 2004 as Additional Central Assistance for payment of scholarship to SC students. The amount could not be drawn as it was received after the expiry of the financial year 2003-04. The sanction is yet to be revalidated. Thus, due to delay in revalidation of sanctioned amount, the scholarship could not be disbursed to SC students subsequently.
8.	<b>Orissa</b>	Post MS (SC & ST)	In 13 and 11 test-checked units, scholarship of Rs. 9.81 lakh and Rs. 12.92 lakh received for disbursement to SC & ST students respectively for different periods during 2001-06 remained undisbursed (September 2006). In five test checked units, Rs. 2.54 lakh relating to the period 2001-06 remained undisbursed as of September 2005.
9.	<b>Punjab</b>	Pre MS	Scholarship of Rs. 0.97 lakh relating to 384 students for the year 2004-05 was not disbursed and was lying unspent with the District Education Officer (September 2006).
		Post MS (SC)	Maintenance allowance was paid short by Rs. 0.80 lakh to 125 SC students in 5 test checked institutions during April 2003 to April 2006.

<b>Sl. no.</b>	<b>State</b>	<b>Scheme</b>	<b>Remarks</b>
10.	<b>Rajasthan</b>	Pre MS	In one district, scholarships were partially distributed to 11033 beneficiaries and in two districts, scholarships were not disbursed to 5624 beneficiaries during 2001-06.
11.	<b>Uttaranchal</b>	Pre MS	Two DSWOs did not release adhoc grants of Rs. 3.53 lakh to 524 students during 2001-06.
		Post MS (SC &ST)	Scholarships amounting to Rs. 10.92 lakh were not disbursed at revised rates to 1498 students of graduation and intermediate standard in 10 colleges of selected districts.
12.	<b>Uttar Pradesh</b>	Pre MS	In 3 schools, scholarships were distributed to 48 students @ Rs. 900 instead of Rs. 1150 during 2003-04 and to 21 students @ Rs. 778 in place of Rs. 950 in 2004-05.



**Annex XV**  
**(Refers to Paragraph 8.1.3.8)**  
**Excess payment of scholarship**

Sl. no.	State	Scheme	Amount paid in excess (Rupees in lakh)	Remarks
1.	<b>Andhra Pradesh</b>	Post MS (SC)	1502.00	The State Government did not restrict payment to 1/3 rate applicable to hostellers resulting in excess payment of Rs. 15.02 crore to 53357 SC and 2776 ST students of the Andhra Pradesh Social Welfare Residential schools/colleges.
2.	<b>Chhattisgarh</b>	Post MS (SC & ST)	15.97	During 2003-06, excess payment of Rs. 15.13 lakh was made by ACTD Bastar by way of payment of additional Rs. 100 per month to 1514 hostellers in two districts and in Rs. 0.84 lakh was paid in excess to 58 students due to non payment at the prescribed rate for group-III courses during 2005-06.
3.	<b>Haryana</b>	Post MS (SC)	12.01	In 4 institutions, payment was made during 2003-06 at higher rates which resulted in excess payment of Rs. 11.28 lakh to 490 students. Rs. 0.73 lakh was overpaid during 2001-06 in two DWOs and one institute due to payment of maintenance charges for the whole year.
4.	<b>Jharkhand</b>	Post MS (SC & ST)	5.51	Scholarship was disbursed to 781 students at higher rate in 19 colleges of 2 test checked districts. The DWOs stated that excess payment of scholarship would be recovered from the concerned students.
5.	<b>Manipur</b>	Post MS (SC & ST)	NA	The maintenance charges were paid at full rate instead of 1/3 rate. Audit could not compute the actual overpayment as records were not maintained properly.
6.	<b>Mizoram</b>	Post MS (ST)	95.90	Payment of maintenance allowance and fees to 25920 awardees during 2005-06 was made for 12 months instead of 10 months.
7.	<b>Pondicherry</b>	Post MS (SC)	16.92	Department classified 1064 SC students of diploma courses in Group-III instead of Group-IV.
8.	<b>Tamil Nadu</b>	Post MS (SC & ST)	19.24	Maintenance charges were paid at full rate to 2398 hostellers provided with free boarding and lodging facilities in 5 test checked districts.
9.	<b>Uttar Pradesh</b>	Post MS (SC)	2345.00	Records in ten districts and six institutions confirmed payment of maintenance charge at full rate instead of 1/3 <sup>rd</sup> rate due to lack of awareness of the provision of the scheme. Thus maintenance charges amounting to Rs. 35.17 crore were paid to 72810 students during 2001-06 at full rate instead of 1/3 <sup>rd</sup> rate.
<b>Total</b>			<b>4012.55</b>	

**Annex-XVI**  
**(Refers to Paragraph 8.1.7.3)**  
**Status of construction of hostels**

State/UT	Scheme	No. of hostels sanctioned by GOI	Central funds released (Rupees in lakh)	Period	Hostels			Remarks
					Completed	Under constructions	Yet to be started	
Andhra Pradesh	H-SC	114	1360.40	2003-06	64	34	16	Construction was delayed due to delay in providing site, land disputes, delays at tender processing stage, selection of unsuitable site etc. Information relating to 32 ST hostels sanctioned during 2001-02 was not furnished.
	H-ST	73	842.00	2001-04	19	8	14	
Assam	H-SC	36	18.00	2001-06	-	-	-	No records regarding estimate, capacity of the hostels, date of commencement/completion of works were produced to audit.
	H-ST	2	100.00	Released in 1998-99	2	-	-	These hostels were completed in 2005-06 after seven years of central release due to delay in release of central share and state share by the State Government.
Arunachal Pradesh	H-ST	7	109.94	2001-06	5	2	-	Construction of one hostel was completed except electrification and water supply, for which the reasons stated were non-release of balance amount of Rs. 5.00 lakh by GOI till October 2006. In case of the other hostel, state share of Rs. 21.43 lakh had not been released till October 2006.
Gujarat	H-SC	1	-	1982-83	-	1	-	Though GOI allotted funds for construction of a hostel in Rajkot district for SC students in 1982-83, the estimate was approved in 1996-97 and Rs. 10 lakh was released in 1999. Audit observed that the construction did not commence till 2000-01. Meanwhile, the plan was revised with an estimated cost of Rs. 2.14 crore. The building was not completed till February 2006.
	H-ST	7	99.45	2001-06	-	7	-	The department incurred expenditure of Rs. 0.62 crore (38 per cent) out of the total funds of Rs. 1.61 crore (central fund Rs. 99.45 lakh and state fund Rs. 61.78 lakh) during 2001-06.

State/UT	Scheme	No. of hostels sanctioned by GOI	Central funds released (Rupees in lakh)	Period	Hostels			Remarks
					Completed	Under constructions	Yet to be started	
Haryana	H-SC	4	140.04	2000-01	-	-	4	Late administrative sanction and late approval of rough cost estimates were the reasons for not starting the work.
Himachal Pradesh	H-SC	33	2311.85	2001-06	-	33	-	Reasons for non-completion of hostels were not available with the Director, Social Justice & Empowerment.
	H-ST	3	177.84	2001-02	-	3	-	
Jammu & Kashmir	H-SC	6	63.61	2001-06	-	6	-	Delay was due to non release of funds by SWD, escalation of cost, improper planning and transfer of work by R&B department (executing agency) to J&K Project Construction Corporation.
Jharkhand	H-SC	78	786.00	2001-06	46	32	-	Hostels remained incomplete though sufficient funds were available.
	H-ST	106	2892.00	2001-06	67	39	-	
Karnataka	H-SC	31	597.73	2004-05	-	-	31	Delay was due to non-processing of tenders.
	H-ST	16	328.33	2003-06	-	-	16	Delay in releasing matching grant by the State government in 11 cases and non finalisation of tenders in 5 cases.
Kerala	H-SC	3	92.50	2001-05	-	1	2	Work was not completed due to non-identification of land/delay in commencing the work etc.
	H-ST	5	22.64	2001-02	1	1	3	Construction of hostels did not start for want of administrative sanction of State government in two cases and transfer of land not being clear in one case.
Manipur	H-ST	35	142.50	2005-06	8	26	1	Delay was due to non-release of central assistance during 2001-04 and release of State share at the fag end of the year.
Madhya Pradesh	H-ST	145	1624.00	2001-06	14	131	-	The hostels were sanctioned by GOI in 2002-03. Non-completion of work was attributed to delay in receipt of central share, non-availability of land, delay in tendering process and delay on the part of contractors.
	H-SC	397	NA	2001-06	236	133	28	Failure to commence construction of 28 hostels was attributed to non availability of land or land disputes. Delays in construction work were attributed to delays in selection of land, court cases, delays in tendering process and delays by the contractors.

Report No. 14 of 2007

State/UT	Scheme	No. of hostels sanctioned by GOI	Central funds released (Rupees in lakh)	Period	Hostels			Remarks
					Completed	Under constructions	Yet to be started	
Maharashtra	H-ST	31	722.00	2001-06	26	5	-	District offices stated that since the work was entrusted to PWD they were not in a position to clarify the reasons for delay.
	H-SC	15	115.24	2002-03	-	15	-	Delay was attributed to delay in release of grant to NGOs, non release of state share and failure of district officials to monitor the physical progress of work.
Meghalaya	H-ST	10	27.50	2002-03	-	10	-	Construction of hostels was delayed due to delayed released of state share.
Nagaland	H-SC	2 (by Nagaland University)	300.46	2003-05	-	2	-	Due to delay in site selection and redesign of architectural drawings the Nagaland University incurred expenditure of Rs. 17.80 lakh during 2005-06 on site leveling and consultancy etc. leaving a balance of Rs. 3.22 crore (including university share).
	H-ST	6	431.00	2001-06	2	4	-	Instead of constructing the hostels, the government gave 50 per cent of central share to voluntary organisation and co-operative societies which was not as per the provisions of the scheme and 50 per cent state share is yet to be received.
Orissa	H-SC	20	191.96	2001-03	-	20	-	Reasons for non completion were not furnished by the State Government.
	H-ST	12	96.46	2001-04	-	12	-	
Punjab	H-SC	2 (one for extension)	25.95	1998-03	-	-	2	Rs. 51.91 lakh including central assistance of Rs. 25.95 lakh remained blocked for more than 8 years due to lack of administrative approval from education department.
Rajasthan	H-SC	35	327.25	1998-99	-	17	18	Government of Rajasthan provided Rs. 2.97 crore as its share in 2004-06 i.e after a delay of 5 to 6 years. Consequently, there was escalation in cost of construction and 17 out of 35 hostels are still under construction. Due to late release of fund by the state government and escalation in cost of construction, remaining 18 hostels not started so far.

State/UT	Scheme	No. of hostels sanctioned by GOI	Central funds released (Rupees in lakh)	Period	Hostels			Remarks
					Completed	Under constructions	Yet to be started	
	H-ST	15	196.17	1998-99	6	6	3	Central share of Rs. 1.96 crore received in January 1999 for construction of 15 hostels was kept in the PD account of the Project Director. The TAD issued sanction for construction of 12 hostels in March 2003, out of which construction of 6 hostels was still in progress.
<b>Sikkim</b>	H-SC	1	48.00	2002-03	-	1	-	Though funds were released by GOI in September 2003, construction work could not commence in 2002-03 due to non-availability of suitable land. Ultimately the department purchased a half completed four storied building. The construction of civil work was completed in May 2006, but it has not been handed over so far since minor repairs and electrical lines have not been completed as of September 2006.
<b>Tamil Nadu</b>	H-SC	177	2787.85	2003-05	104	57	16	As of February 2007, in case of five hostels site was not available, in 11 hostels tenders were to be called and for 57 hostels, which were under progress, no specific reasons furnished by the department for delay.
<b>Tripura</b>	H-SC	3	343.15	2001-06	-	3	-	Reasons not furnished.
	H-ST	5	100.00	2001-06	2	3	-	Delay was attributed to revision of estimate following soil testing of the work site and delay in release of funds by the State Government.
<b>Uttar Pradesh</b>	H-SC	41	842.00	2001-06	27	3	11	Reasons for non completion of 14 hostels were two hostels not sanctioned, land not available for four hostels, one hostel yet to start, three hostels were under construction and inventory sent but not accepted by SWD in four cases.
<b>West Bengal</b>	H-SC	34	NA	1990-06	28	4	2	Construction of two hostels was not started due to inaction on the part of the department in taking physical possession of the allotted land. Delay in completion of 7 other hostels was attributed to non-availability of suitable land, local problems and delayed payment by the State Government to executing agencies.
	H-ST	32	NA	-do-	29	3	-	
<b>Total</b>		<b>1543</b>	<b>18263.82</b>		<b>686</b>	<b>622</b>	<b>167</b>	

**Annex XVII (a)**  
**(Refer to Paragraph 8.2)**  
**Gross Enrolment Ratio**

*(In percentage)*

Sl. No.	State/UT	SC Boys			Decrease with reference to 2001-02		SC Girls			Decrease with reference to 2001-02	
		2001-02	2002-03	2003-04	2002-03	2003-04	2001-02	2002-03	2003-04	2002-03	2003-04
1.	Andhra Pradesh	100.31	94.31	92.94	6.0	7.37	93.73	91.07	90.14	2.66	3.59
2.	Arunachal Pradesh	87.59	0	0			85.47	0	0		
3.	Assam	105.41	90.30	68.09	15.11	37.32	89.44	85.33	66.02	4.11	23.42
4.	Bihar	80.81	59.55	70.81	21.26	10.00	47.34	37.30	47.05	10.04	0.29
5.	Chhattisgarh	97.70	111.10	100.72			81.21	97.70	91.42		
6.	Goa	68.41	96.62	98.19			62.46	90.26	105.82		
7.	Gujarat	106.34	102.91	74.99	3.43	31.35	94.43	100.19	72.80		21.63
8.	Haryana	79.86	85.16	80.32			77.71	83.67	82.22		
9.	Himachal Pradesh	90.06	117.27	96.77			82.03	112.48	104.26		
10.	J&K	91.28	77.09	81.03	14.19	10.25	70.50	70.90	81.49		
11.	Jharkhand	68.55	66.02	63.53	2.53	5.02	42.73	45.27	45.57		
12.	Karnataka	107.02	105.34	107.01	1.68	0.01	97.68	100.46	94.17		3.51
13.	Kerala	89.55	111.76	93.22			85.55	107.36	89.58		
14.	Madhya Pradesh	112.60	103.45	105.52	9.15	7.08	89.38	87.07	88.26	2.31	1.12
15.	Maharashtra	108.24	115.34	101.71		7.53	100.81	112.05	99.94		0.87
16.	Manipur	88.84	84.92	113.32	3.92		78.26	80.94	109.20		
17.	Meghalaya	94.88	108.10	88.87		6.01	93.54	112.57	92.24		1.30
18.	Mizoram	99.43	0	0			95.06	0	0		
19.	Nagaland	0	0	0			0	0	0		
20.	Orissa	108.74	97.18	82.98	11.56	25.76	87.44	87.67	95.92		
21.	Punjab	100.93	87.33	95.15	13.60	5.78	100.31	89.53	100.72	10.78	
22.	Rajasthan	108.83	107.22	89.33	1.61	19.50	48.30	85.87	73.45		

*(In percentage)*

Sl. No.	State/UT	SC Boys			Decrease with reference to 2001-02		SC Girls			Decrease with reference to 2001-02	
		2001-02	2002-03	2003-04	2002-03	2003-04	2001-02	2002-03	2003-04	2002-03	2003-04
23.	<b>Sikkim</b>	76.49	100.37	73.46			74.02	105.93	77.46	-	-
24.	<b>Tamil Nadu</b>	97.94	95.27	108.88	2.67		88.45	98.54	102.23	-	-
25.	<b>Tripura</b>	100.69	100.34	105.84	0.35		89.85	93.67	103.59	-	-
26.	<b>Uttar Pradesh</b>	79.83	71.54	74.58	8.29	5.25	42.56	43.91	46.04	-	
27.	<b>Uttaranchal</b>	101.34	101.35	104.59			100.11	97.48	105.67	2.63	-
28.	<b>West Bengal</b>	106.63	88.40	113.82	18.23		91.99	82.10	107.54	9.89	-
29.	<b>A&amp;N Islands</b>	0	0	0			0	0	-	-	-
30.	<b>Chandigarh</b>	80.31	58.67	65.69	21.64	14.65	74.41	59.62	61.33	14.79	13.08
31.	<b>D&amp;N Haveli</b>	109.38	112.46	100.12		9.26	100.30	112.46	104.96	-	-
32.	<b>Daman &amp; Diu</b>	99.47	116.07	99.01		0.46	72.49	123.97	97.61	-	-
33.	<b>Delhi</b>	62.57	59.09	61.32	3.48	1.25	54.23	62.83	61.24	-	-
34.	<b>Lakshadweep</b>	0	0	0			0	0	-	-	-
35.	<b>Pondicherry</b>	98.60	119.43	118.00			86.50	113.65	114.87	-	-

**Annex XVII (b)**  
**(Refer to Paragraph 8.2)**  
**Gross Enrolment Ratio**

*(In percentage)*

Sl. No.	State/UT	ST Boys			Decrease with reference to 2001-02		ST Girls			Decrease with reference to 2001-02	
		2001-02	2002-03	2003-04	2002-03	2003-04	2001-02	2002-03	2003-04	2002-03	2003-04
1.	<b>Andhra Pradesh</b>	90.43	95.58	83.15	-	7.28	76.48	88.70	74.13	-	2.35
2.	<b>Arunachal Pradesh</b>	108.29	103.90	97.77	4.39	10.52	89.45	92.00	82.54	-	6.91
3.	<b>Assam</b>	104.62	75.20	73.90	29.42	30.72	94.14	70.96	76.45	23.18	17.69
4.	<b>Bihar</b>	83.69	45.23	84.17	38.46	-	56.26	24.45	71.47	31.81	-
5.	<b>Chhatisgarh</b>	106.37	94.87	107.43	11.50	-	90.16	79.35	104.32	10.81	-
6.	<b>Goa</b>	102.66	71.74	0	30.92	-	77.15	58.82	0	18.33	-
7.	<b>Gujarat</b>	106.15	95.66	87.88	10.49	18.27	95.29	90.11	90.33	5.18	4.96
8.	<b>Haryana</b>	-	-	0	-	-	0	0	0	-	-
9.	<b>Himachal Pradesh</b>	89.83	115.12	111.41	-	-	76.31	103.26	112.02	-	-
10.	<b>J&amp;K</b>	39.54	39.54	70.60	-	-	0	25.15	52.96	-	-
11.	<b>Jharkhand</b>	104.25	74.60	94.26	29.65	9.99	78.06	55.23	74.98	22.83	3.08
12.	<b>Karnataka</b>	104.21	101.39	96.82	2.82	7.39	97.12	89.77	90.80	7.35	6.32
13.	<b>Kerala</b>	93.99	101.50	110.30	-	-	92.60	97.14	107.60	-	-
14.	<b>Madhya Pradesh</b>	84.75	73.85	90.65	10.90	-	61.51	55.39	67.95	6.12	-
15.	<b>Maharashtra</b>	101.88	99.90	110.91	1.98	0.97	92.99	90.52	95.10	2.47	-
16.	<b>Manipur</b>	98.03	95.27	112.63	2.76	-	82.50	93.14	103.68	-	-
17.	<b>Meghalaya</b>	94.27	72.30	84.81	21.97	9.46	93.00	77.57	90.49	15.43	2.51
18.	<b>Mizoram</b>	113.54	103.38	105.47	10.16	8.07	92.60	105.33	97.46	-	-
19.	<b>Nagaland</b>	86.28	58.96	64.07	27.32	22.21	65.00	57.89	56.31	7.11	8.69
20.	<b>Orissa</b>	107.90	80.86	92.24	27.04	15.66	72.59	68.51	87.09	4.08	-
21.	<b>Punjab</b>	0	0	0	-	-	0	0	0	-	-
22.	<b>Rajasthan</b>	102.72	100.36	91.69	2.36	11.03	45.26	81.63	78.46	-	-



Sl. No.	State/UT	ST Boys			Decrease with reference to 2001-02		ST Girls			Decrease with reference to 2001-02	
		2001-02	2002-03	2003-04	2002-03	2003-04	2001-02	2002-03	2003-04	2002-03	2003-04
23.	<b>Sikkim</b>	81.31	100.59	109.90	-	-	81.10	103.30	118.50	-	-
24.	<b>Tamil Nadu</b>	92.84	98.43	121.39	-	-	92.45	108.21	93.95	-	-
25.	<b>Tripura</b>	97.36	101.41	106.43	-	-	79.47	90.10	95.63	-	-
26.	<b>Uttar Pradesh</b>	82.70	122.92	74.72	-	7.98	54.26	116.22	48.87	-	5.39
27.	<b>Uttaranchal</b>	90.79	97.74	88.33	-	2.46	92.15	101.25	94.99	-	-
28.	<b>West Bengal</b>	96.48	90.57	70.99	5.91	25.49	62.44	71.47	63.38	-	-
29.	<b>A&amp;N Islands</b>	80.67	99.60	108.84	-	-	59.43	100.27	72.72	-	-
30.	<b>Chandigarh</b>	0	0	0	-	-	0	0	0	-	-
31.	<b>D&amp;N Haveli</b>	111.75	101.75	100.63	10.00	11.12	75.95	89.39	85.46	-	-
32.	<b>Daman &amp; Diu</b>	106.16	113.84	102.85	-	3.31	82.45	106.96	103.22	-	-
33.	<b>Delhi</b>	0	0	0	-	-	0	0	0	-	-
34.	<b>Lakshadweep</b>	99.16	111.92	109.46	-	-	82.73	96.33	96.16	-	-
35.	<b>Pondicherry</b>	0	0	0	-	-	0	0	0	-	-

**Annex XVIII (a)**  
**(Refer to Paragraph 8.2)**  
**Gross Drop out Rate**

(In percentage)

Sl. No.	State/UT	SC Boys			Increase with reference to 2001-02		SC Girls			Increase with reference to 2001-02	
		2001-02	2002-03	2003-04	2002-03	2003-04	2001-02	2002-03	2003-04	2002-03	2003-04
1.	Andhra Pradesh	75.37	74.00	71.18			80.21	78.93	75.93		
2.	Arunachal Pradesh	56.25	0	60.00		3.75	54.55	0	58.82		4.27
3.	Assam	73.09	72.71	72.56	-		69.55	68.94	68.87		
4.	Bihar	89.27	88.59	89.31		0.04	91.92	90.97	91.46		
5.	Chhattisgarh	0	0	0			0	0	0		
6.	Goa	83.62	78.57	57.56			84.35	78.98	59.40		
7.	Gujarat	69.05	70.29	69.42	1.24	0.37	80.78	81.21	80.47	0.43	
8.	Haryana	58.86	59.55	52.25	0.69		71.84	66.57	63.83		
9.	Himachal Pradesh	46.18	44.64	47.70		1.52	50.22	46.92	48.46		
10.	J&K	72.20	72.53	62.94	0.33		70.20	68.58	60.84		
11.	Jharkhand	0	0	0			0	0	0		
12.	Karnataka	67.81	65.49	64.13			70.16	68.19	69.44		
13.	Kerala	32.46	28.89	26.13			21.62	18.19	16.51		
14.	Madhya Pradesh	67.68	70.22	71.55	2.54	3.87	79.85	82.66	80.01	2.81	0.16
15.	Maharashtra	54.07	54.68	51.48	0.61		62.24	63.05	55.89	0.81	
16.	Manipur	58.76	54.62	18.68			64.77	62.88	19.64		
17.	Meghalaya	74.16	73.91	74.27		0.09	82.00	80.77	79.88		
18.	Mizoram	0	0	0			0	0	0		
19.	Nagaland	0	0	0			0	0	0		
20.	Orissa	73.09	66.23	72.32			79.18	79.48	75.09	0.30	
21.	Punjab	61.10	62.91	63.75	1.81	2.65	60.89	63.88	64.83	2.99	3.94
22.	Rajasthan	78.02	76.95	78.53		0.51	87.57	86.07	87.65		0.08

(In percentage)

Sl. No.	State/UT	SC Boys			Increase with reference to 2001-02		SC Girls			Increase with reference to 2001-02	
		2001-02	2002-03	2003-04	2002-03	2003-04	2001-02	2002-03	2003-04	2002-03	2003-04
23.	<b>Sikkim</b>	88.94	88.14	89.12		0.18	86.22	86.34	90.11	-	1.89
24.	<b>Tamil Nadu</b>	54.69	56.66	64.23	1.97	9.54	59.95	57.44	63.13		3.18
25.	<b>Tripura</b>	74.95	74.44	76.61		1.66	77.57	77.06	78.62		1.05
26.	<b>Uttar Pradesh</b>	66.73	62.13	73.78		7.05	73.56	76.18	90.21	2.62	16.65
27.	<b>Uttaranchal</b>	0	0	0			0	0	0		
28.	<b>West Bengal</b>	83.47	83.47	76.46			86.91	86.91	78.11		
29.	<b>A&amp;N Islands</b>	0	0	0			0	0	0		
30.	<b>Chandigarh</b>	79.82	80.74	87.15	0.92	7.33	75.17	75.92	80.53	0.75	5.36
31.	<b>D&amp;N Haveli</b>	38.89	27.78	54.90		16.01	37.14	34.09	33.33		
32.	<b>Daman &amp; Diu</b>	1.85	0	29.58		27.73	4.92	0	33.90		28.98
33.	<b>Delhi</b>	81.05	80.23	76.27			75.33	73.58	77.30		1.97
34.	<b>Lakshadweep</b>	0	0	0			0	0	0		
35.	<b>Pondicherry</b>	23.56	25.46	24.86	1.90	1.30	27.82	26.25	23.06		

**Annex XVIII (b)**  
**(Refer to Paragraph 8.2)**  
**Gross Drop out Rate**

(In percentage)

Sl. No.	State/UT	ST Boys			Increase with reference to 2001-02		ST Girls			Increase with reference to 2001-02	
		2001-02	2002-03	2003-04	2002-03	2003-04	2001-02	2002-03	2003-04	2002-03	2003-04
1.	Andhra Pradesh	85.78	84.70	82.81			90.63	89.32	87.57		
2.	Arunachal Pradesh	77.47	72.47	75.88			80.04	75.06	77.70		
3.	Assam	78.38	76.52	77.92			76.40	74.19	75.63		
4.	Bihar	89.52	89.01	88.14			91.28	91.24	90.41		
5.	Chhattisgarh	0	0	0							
6.	Goa	85.71	0	0			95.00				
7.	Gujarat	81.01	78.45	80.21			83.82	82.33	82.93		-
8.	Haryana	0	0	0							
9.	Himachal Pradesh	53.94	32.36	44.65			55.41	50.54	48.31		
10.	J&K	0	79.59	73.07				80.62	77.85	-	-
11.	Jharkhand	0	0	0							
12.	Karnataka	69.98	63.87	59.62			69.94	64.96	63.92		
13.	Kerala	61.67	58.16	56.86			52.27	49.71	49.71	-	-
14.	Madhya Pradesh	77.83	78.56	71.23	0.73		84.03	87.42	79.28	3.39	
15.	Maharashtra	70.99	71.90	70.51	0.91		77.41	82.12	82.44	4.71	5.03
16.	Manipur	74.07	78.54	78.98	4.47	4.91	73.26	78.54	78.98	5.28	5.72
17.	Meghalaya	84.81	87.05	87.22	2.24	2.41	84.82	86.74	86.12	1.92	1.30
18.	Mizoram	72.58	76.89	71.90	4.31		67.62	73.37	66.98	5.75	-
19.	Nagaland	68.55	67.72	66.81			69.02	67.91	67.90		
20.	Orissa	82.53	84.38	83.30	1.85	0.77	84.94	85.84	84.01	0.90	
21.	Punjab	0	0	0							
22.	Rajasthan	78.78	78.44	78.77			87.87	87.24	87.04		

(In percentage)

Sl. No.	State/UT	ST Boys			Increase with reference to 2001-02		ST Girls			Increase with reference to 2001-02	
		2001-02	2002-03	2003-04	2002-03	2003-04	2001-02	2002-03	2003-04	2002-03	2003-04
23.	<b>Sikkim</b>	88.68	87.21	76.94			86.57	85.32	71.79		
24.	<b>Tamil Nadu</b>	74.22	74.43	66.68	0.21	-	76.66	77.48	55.08	0.82	
25.	<b>Tripura</b>	85.65	85.31	85.71		0.06	87.85	87.22	87.38		
26.	<b>Uttar Pradesh</b>	32.50	47.38	46.01	14.88	13.51	59.13	69.01	60.89	9.88	1.76
27.	<b>Uttaranchal</b>	0	0	0							
28.	<b>West Bengal</b>	86.31	61.76	80.72		0.41	88.30	75.86	71.80		
29.	<b>A&amp;N Islands</b>	73.51	62.92	60.10		-	56.15	54.72	41.60		
30.	<b>Chandigargh</b>	0	0	0							
31.	<b>D&amp;N Haweli</b>	78.40	78.55	76.77	0.15		80.23	81.69	82.78	1.46	2.55
32.	<b>Daman &amp; Diu</b>	69.35	64.83	76.41		7.06	69.57	71.43	77.06	1.86	7.49
33.	<b>Delhi</b>	36.67	76.67	77.81	40.00	41.14	17.65	74.51	79.81	56.86	62.16
34.	<b>Lakshadweep</b>	56.02	29.92	48.04			49.35	20.34	37.55		
35.	<b>Pondicherry</b>	0	0	0							